

# Charging a leptoquark under $L_\mu - L_\tau$

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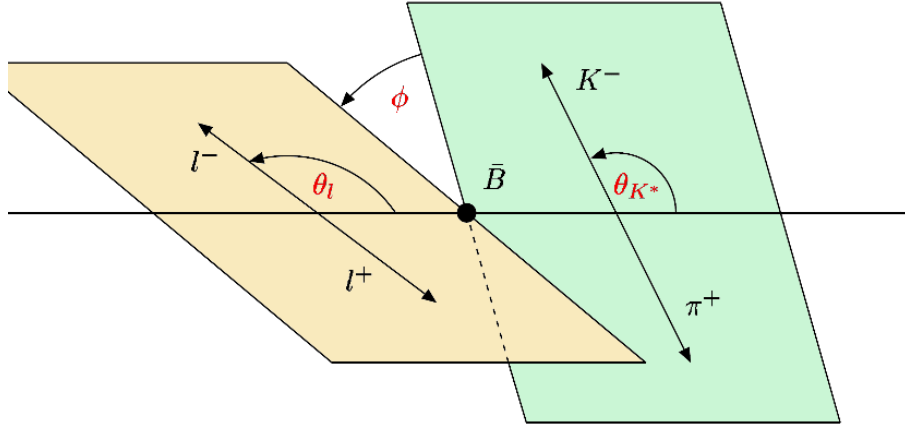
SAPIENZA  
UNIVERSITÀ DI ROMA

IFAE Barcelona seminar – 28 January 2021  
(based on [2007.15016](#) with Joe Davighi, Marco Nardecchia)

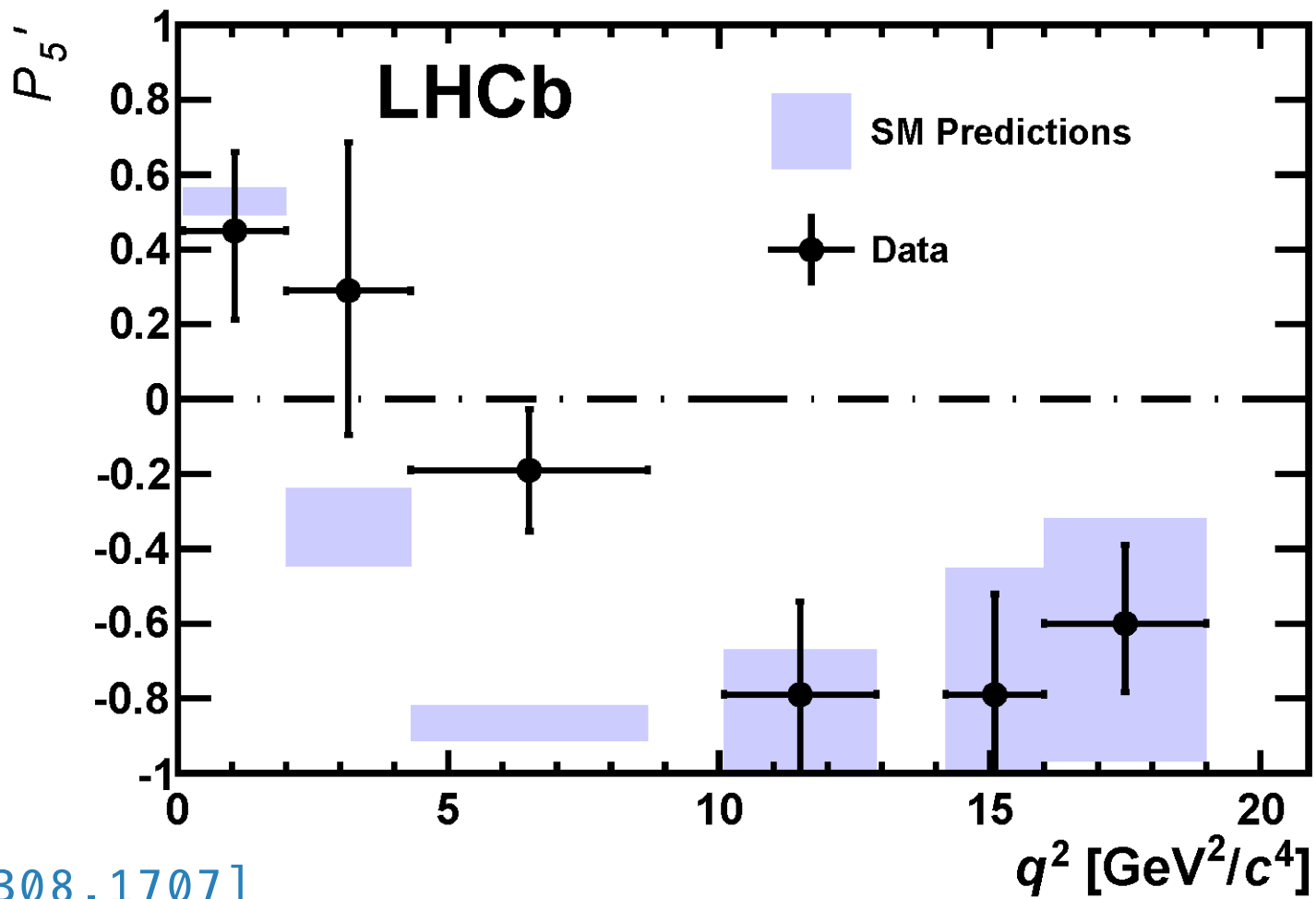
# Flavour Anomalies – a history

- $P'_5$  in 2013,  $2.8 \sigma$  deviation
- $R_K$  in 2014,  $2.6 \sigma$  deviation
- $R_{K^*}$  in 2017,  $2.5 \sigma$  deviation
- $R_K$  in 2019,  $2.5 \sigma$  deviation
- $R_{pK}$  in 2019,  $< 1 \sigma$  deviation
- $P'_5$  (x2) in 2020,  $2.5 \sigma$  deviation

# $P'_5$



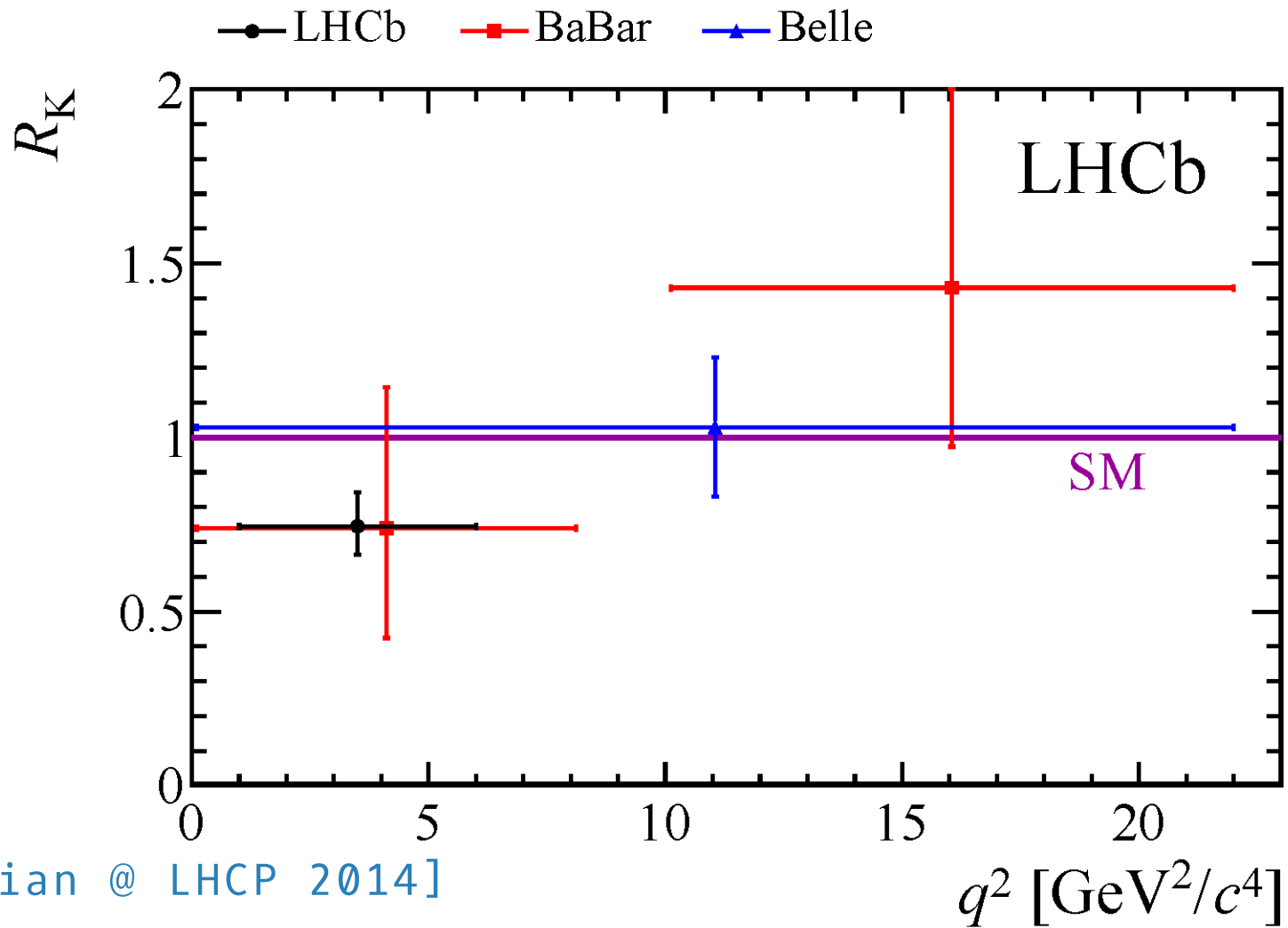
$$\begin{aligned}
 \frac{1}{d\Gamma/dq^2} \frac{d^4\Gamma}{d\cos\theta_\ell d\cos\theta_K d\phi dq^2} = & \frac{9}{32\pi} \left[ \frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\
 & - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \\
 & + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \\
 & + S_6 \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \\
 & \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right],
 \end{aligned}$$



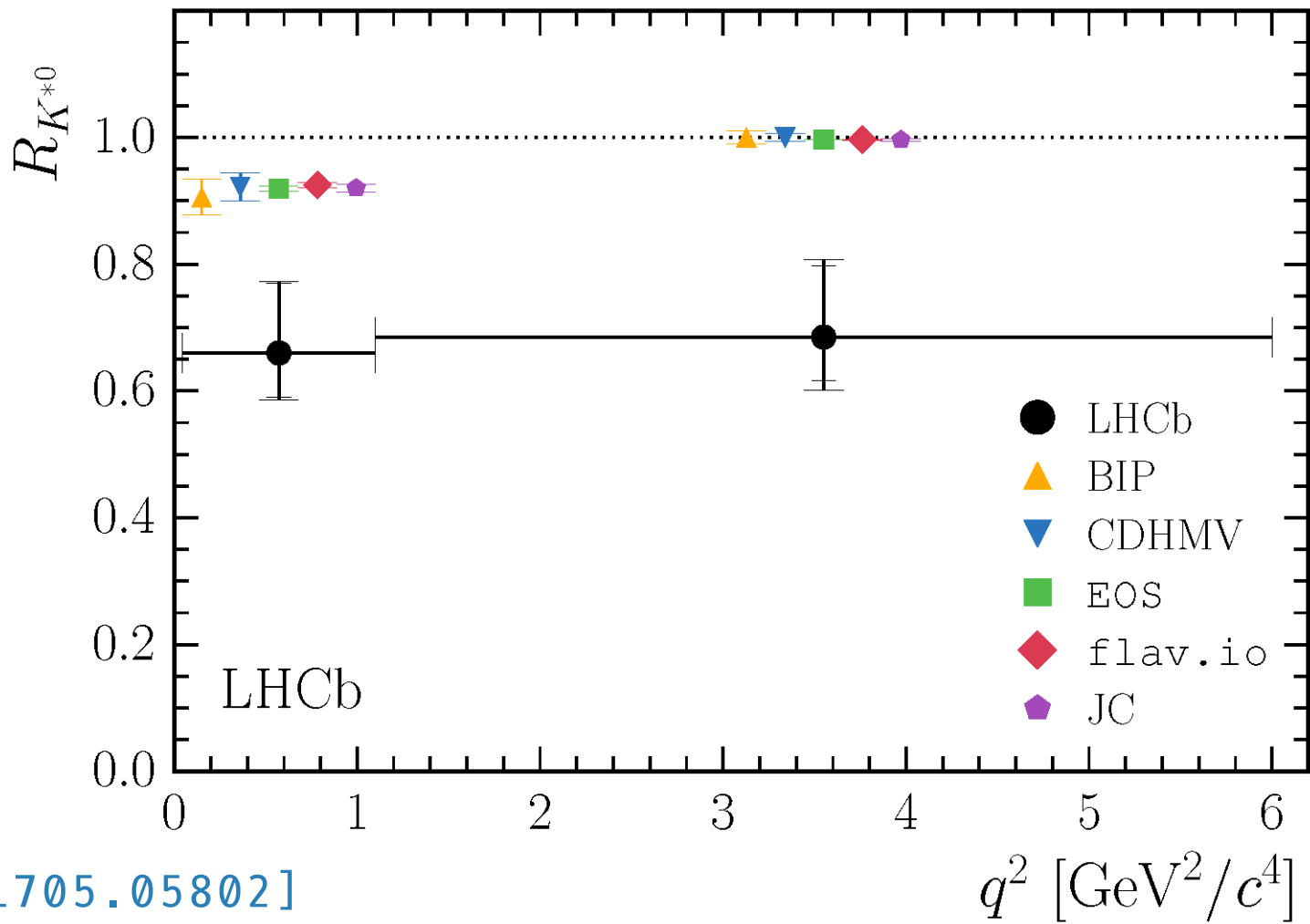
[1308.1707]

$$R_{K^{(*)}}$$

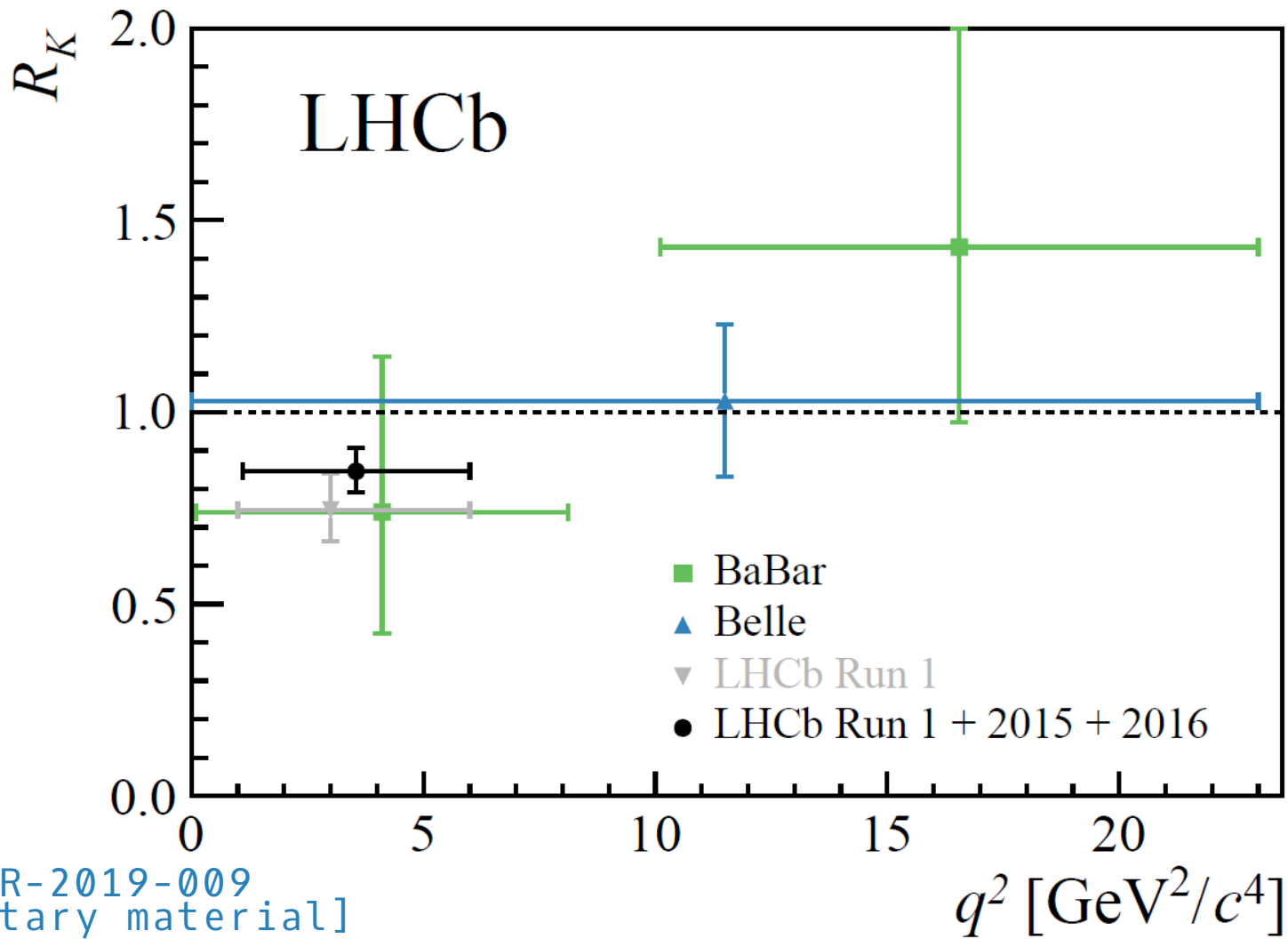
$$R_{K^{(*)}} = \frac{\mathcal{B}(B \rightarrow K^{(*)} \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K^{(*)} e^+ e^-)}$$



[De Cian @ LHCP 2014]

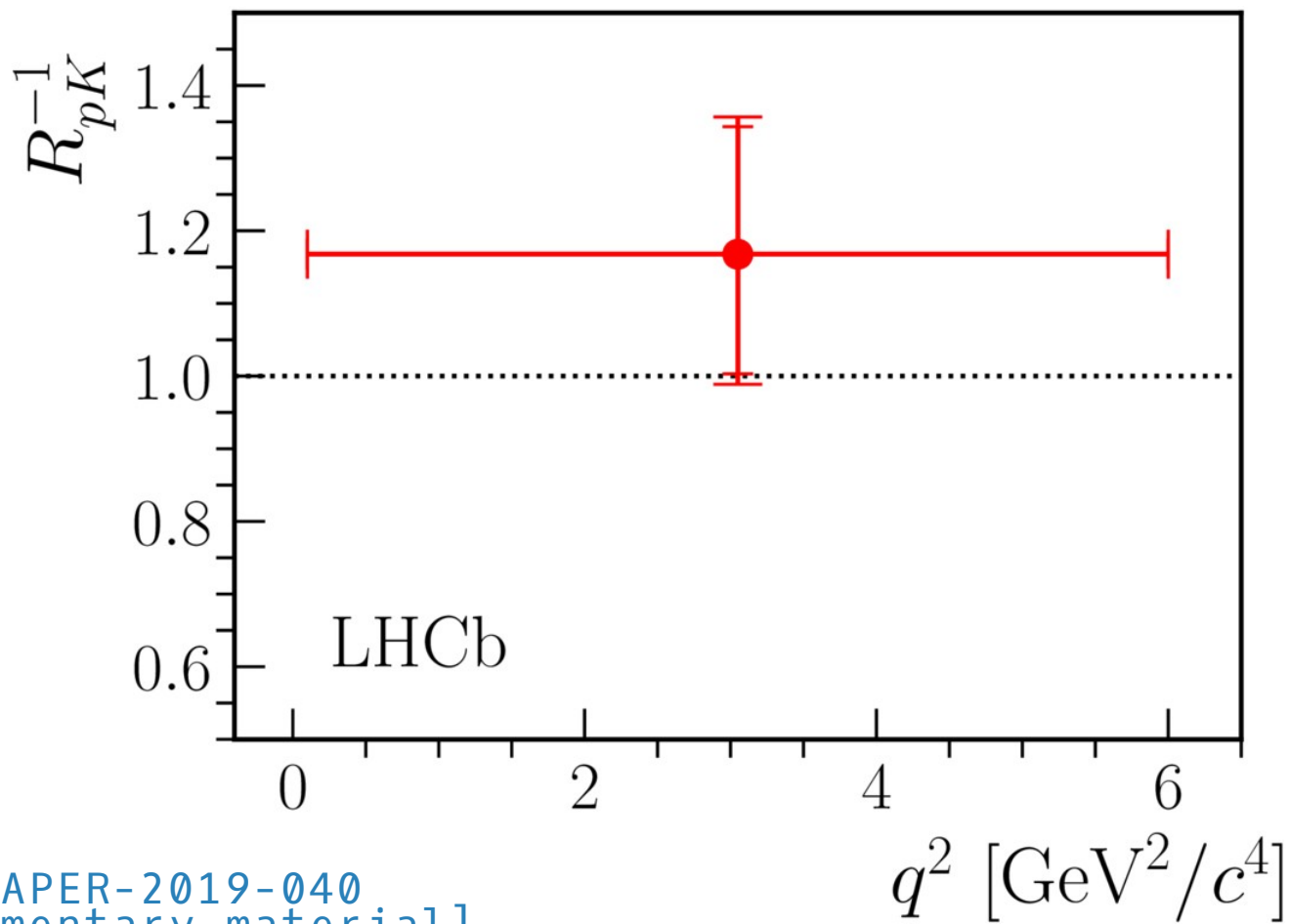


[1705.05802]

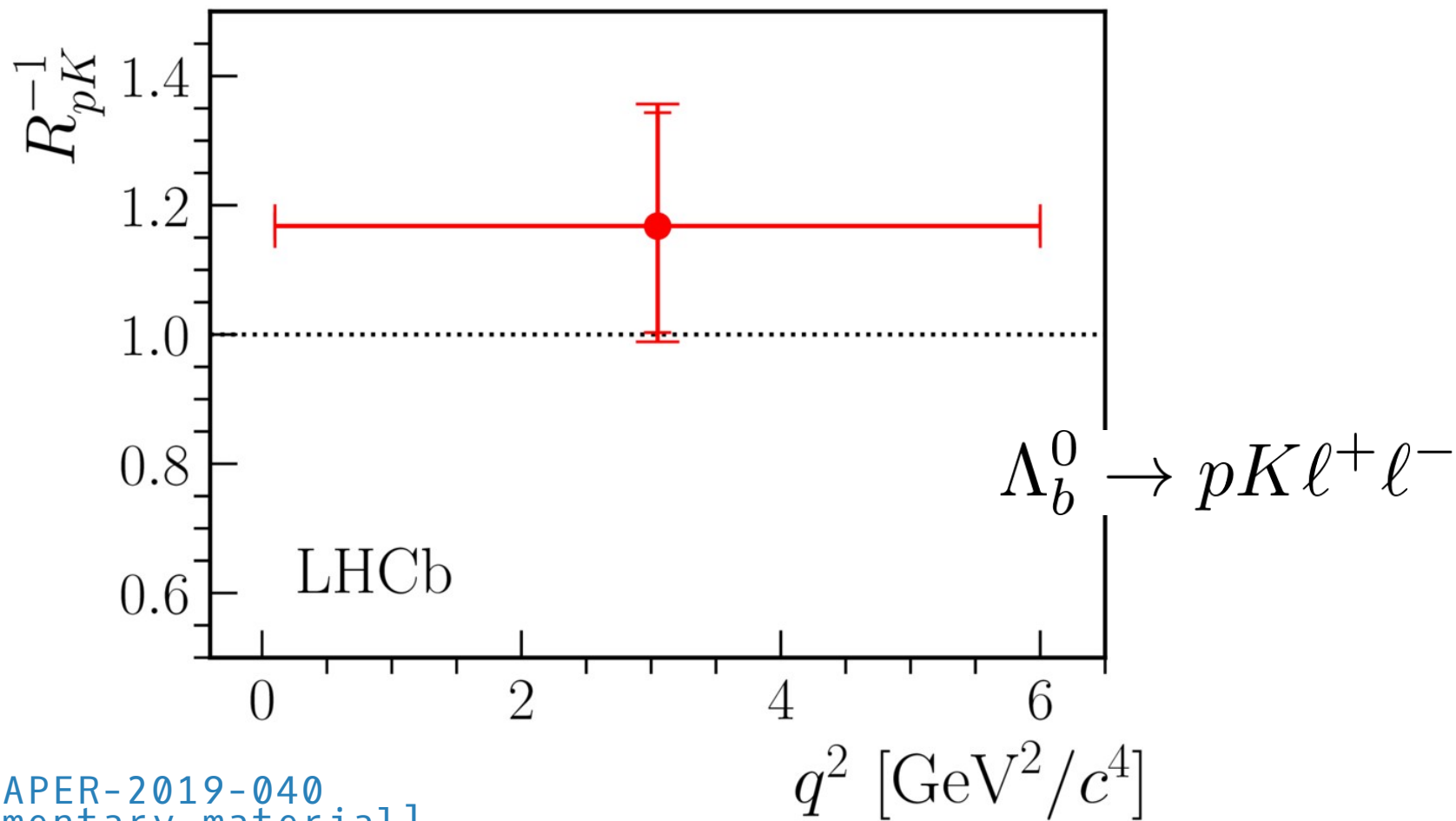


[LHCb-PAPER-2019-009  
supplementary material]

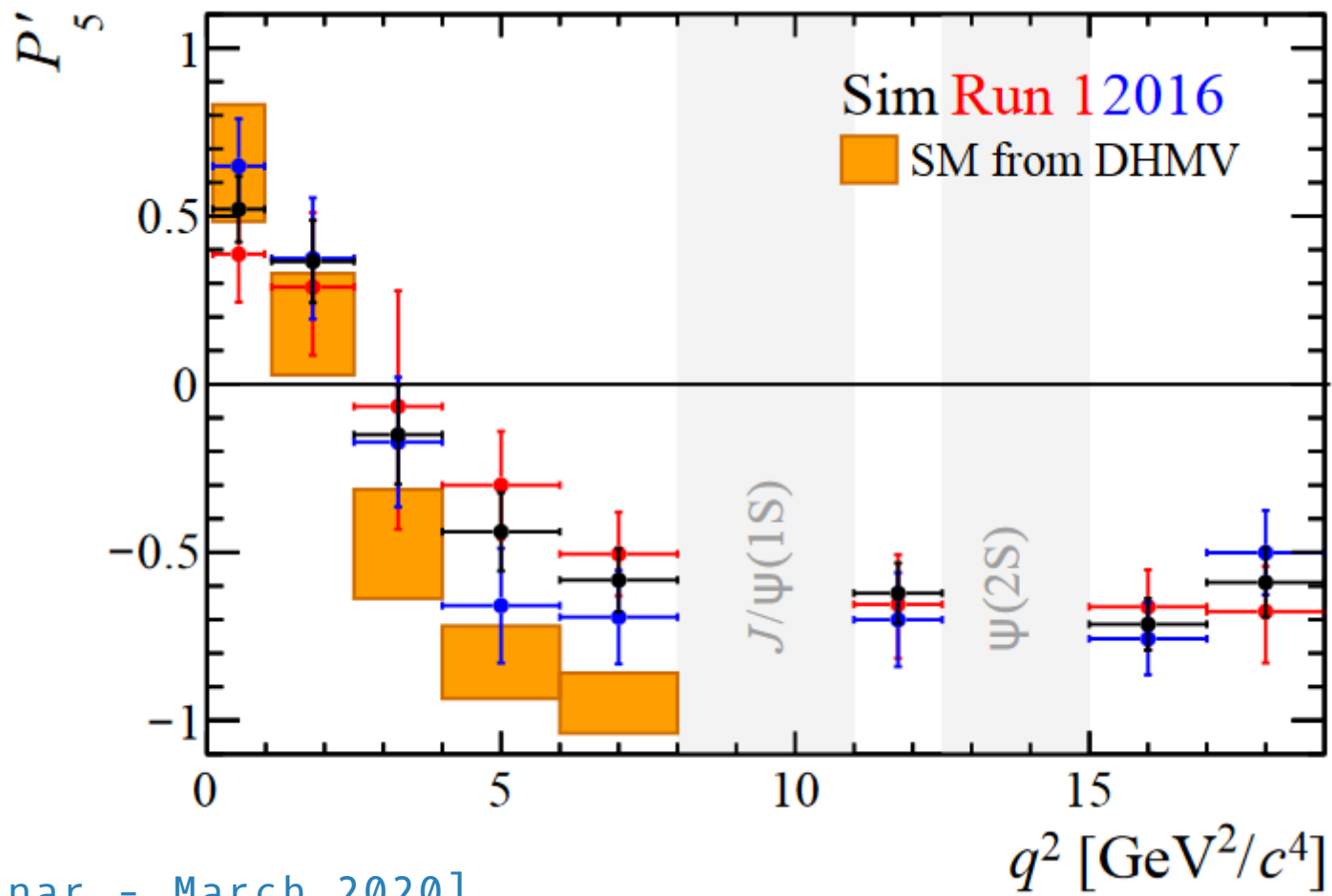




[LHCb-PAPER-2019-040  
supplementary material]

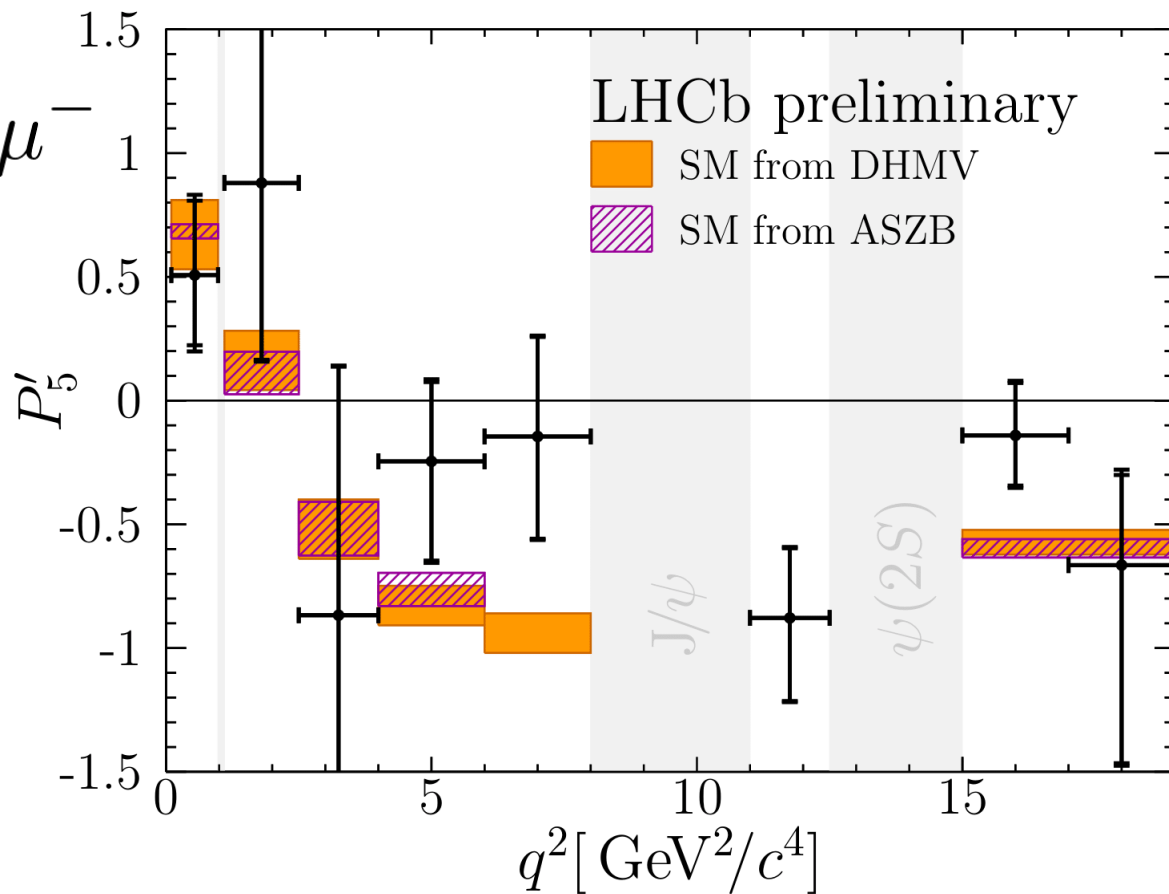


[LHCb-PAPER-2019-040  
supplementary material]



[LHCb seminar - March 2020]

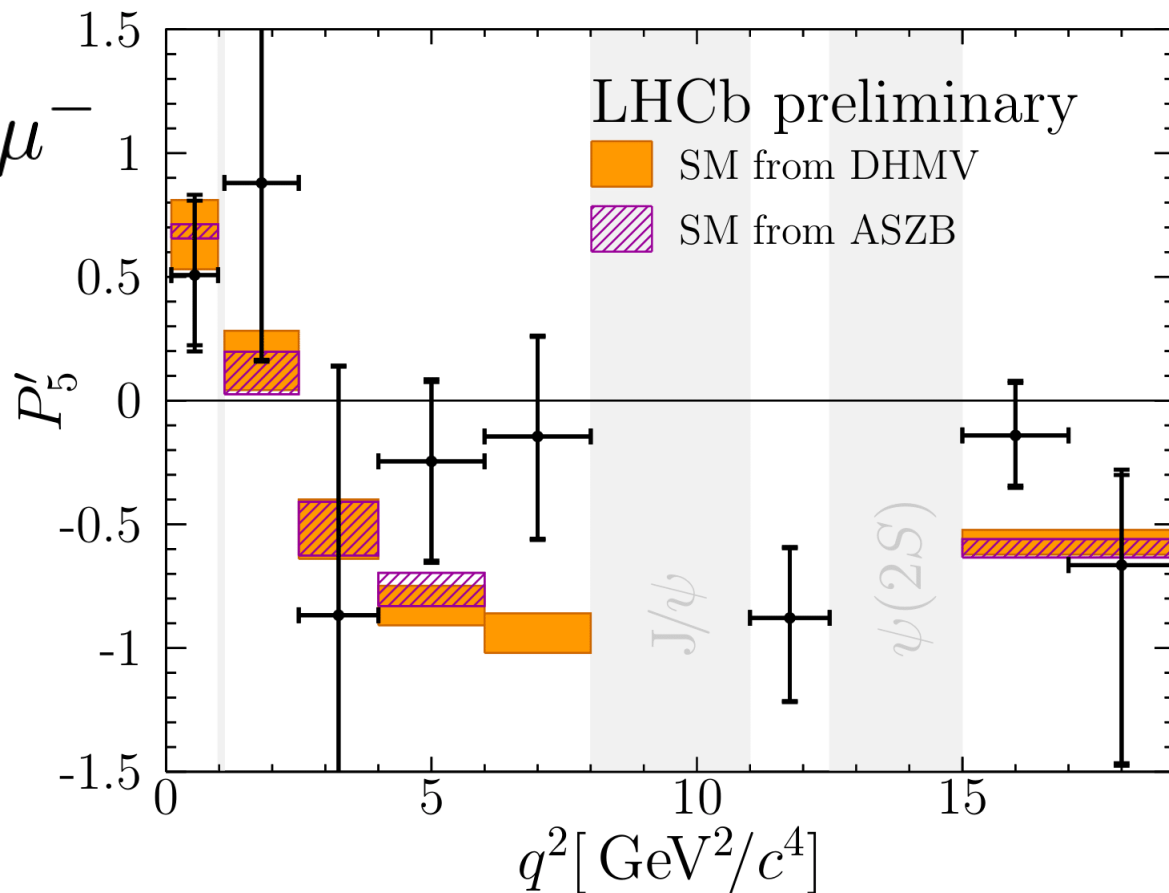
$$B^+ \rightarrow K^{*+} \mu^+ \mu^-$$



[LHCb seminar - October 2020]

$$B^+ \rightarrow K^{*+} \mu^+ \mu^-$$

Note: not yet included in global fits



[LHCb seminar - October 2020]

# Flavour Anomalies – a history

- Plus many more non “headline” observables
- All in  $b \rightarrow sll$  decay modes
- We often talk about a coherent set of anomalies
  - i.e. all the data points the same way
- Think about this in terms of a global fit

# $b \rightarrow sll$ operators

- What operators can affect the  $b \rightarrow sll$  decay?
- $C_9, C_{10}, C'_9, C'_{10}$

$$\mathcal{O}_{9\ell} = \frac{e}{16\pi^2} m_b (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell),$$

$$\mathcal{O}_{9'\ell} = \frac{e}{16\pi^2} m_b (\bar{s} \gamma_\mu P_R b) (\bar{\ell} \gamma^\mu \ell),$$

$$\mathcal{O}_{10\ell} = \frac{e}{16\pi^2} m_b (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell),$$

$$\mathcal{O}_{10'\ell} = \frac{e}{16\pi^2} m_b (\bar{s} \gamma_\mu P_R b) (\bar{\ell} \gamma^\mu \gamma_5 \ell).$$

# $b \rightarrow sll$ operators

- What operators can affect the  $b \rightarrow sll$  decay?
- $C_9, C_{10}, C'_9, C'_{10}$
- $(+ C_7, C'_7, C_S, C_P, C_T, C_{T5})$ 
  - $C_{T,T5} = 0$  from SMEFT
  - $C_7^{(\prime)} \approx 0$  from  $B \rightarrow X_s \gamma$
  - $C_{S,P} \approx 0$  from  $B_s \rightarrow \mu\mu$   
(see backup for more)



# Global fit

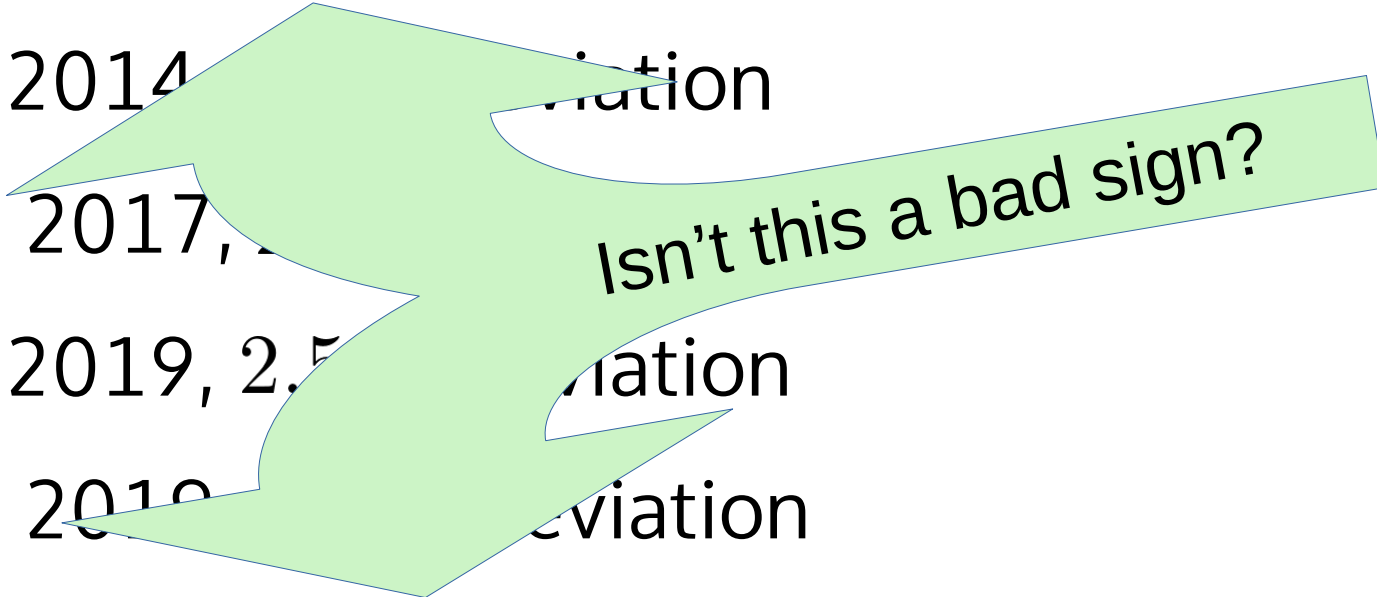
1D Hyp.	All				LFUV			
	Best fit	$1 \sigma/2 \sigma$	Pull <sub>SM</sub>	p-value	Best fit	$1 \sigma/ 2 \sigma$	Pull <sub>SM</sub>	p-value
$C_{9\mu}^{\text{NP}}$	-1.03	$[-1.19, -0.88]$ $[-1.33, -0.72]$	6.3	37.5 %	-0.91	$[-1.25, -0.61]$ $[-1.63, -0.34]$	3.3	60.7 %
$C_{9\mu}^{\text{NP}} = -C_{10\mu}^{\text{NP}}$	-0.50	$[-0.59, -0.41]$ $[-0.69, -0.32]$	5.8	25.3 %	-0.39	$[-0.50, -0.28]$ $[-0.62, -0.17]$	3.7	75.3 %
$C_{9\mu}^{\text{NP}} = -C_{9'\mu}$	-1.02	$[-1.17, -0.87]$ $[-1.31, -0.70]$	6.2	34.0 %	-1.67	$[-2.15, -1.05]$ $[-2.54, -0.48]$	3.1	53.1 %
$C_{9\mu}^{\text{NP}} = -3C_{9e}^{\text{NP}}$	-0.93	$[-1.08, -0.78]$ $[-1.23, -0.63]$	6.2	33.6 %	-0.68	$[-0.92, -0.46]$ $[-1.19, -0.25]$	3.3	60.8 %

TABLE VII. Most prominent 1D patterns of NP in  $b \rightarrow s\mu^+\mu^-$  transitions (state-of-the-art fits as of March 2020). Here, Pull<sub>SM</sub> is quoted in units of standard deviation and the  $p$ -value of the SM hypothesis is 1.4% for the fit “All” and 12.6% for the fit LFUV.

[1903.09578 (Apr 2020 addendum)]

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- $R_K$  in 2019,  $2.5 \sigma$  deviation
- $R_{pK}^{-1}$  in 2019,  $2.5 \sigma$  deviation
- $P'_5$  (x2) in 2020,  $2.5 \sigma$  deviation



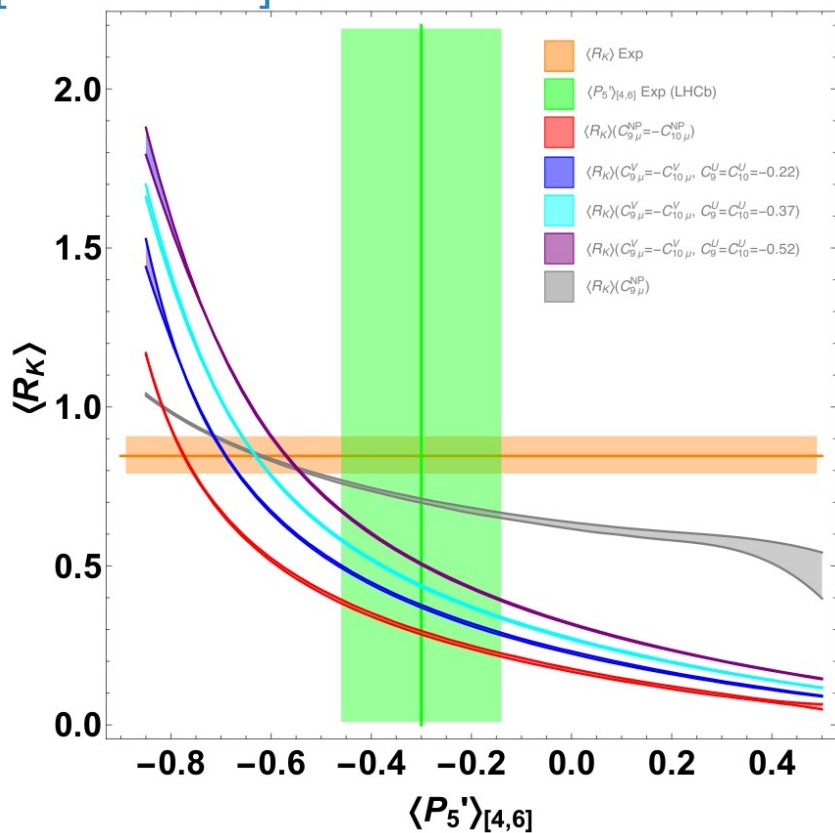
Isn't this a bad sign?

# New $P'_5$

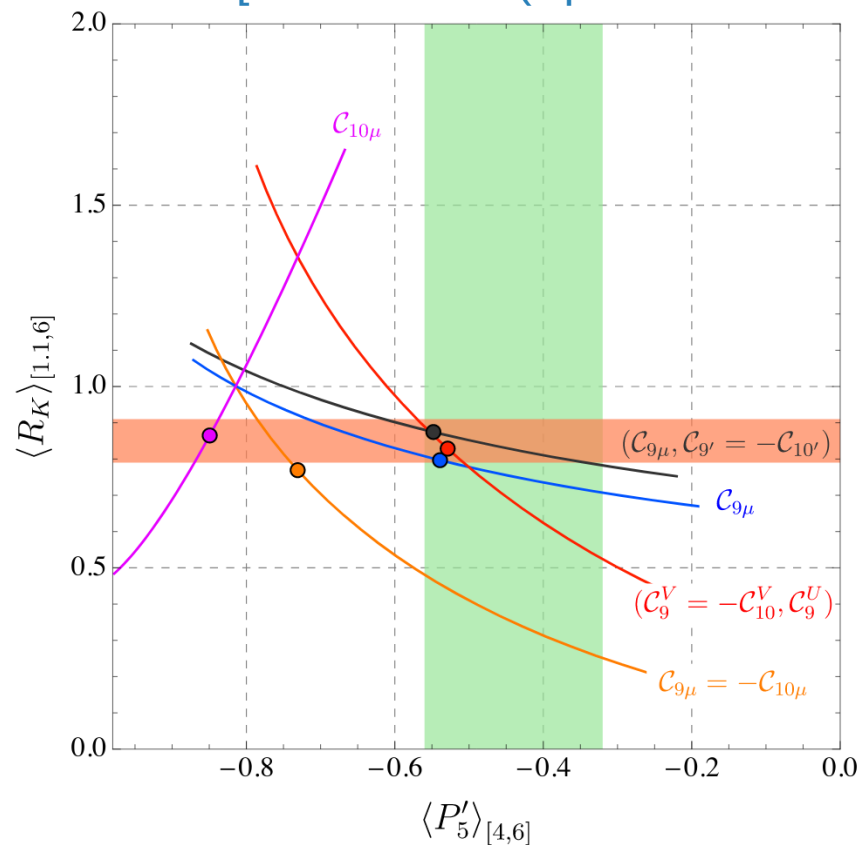
- $P'_5$  became (a little) less significant
- However, this actually improved the overall fit

# New $P'_5$

[1902.04900]



[1903.09578 (Apr 2020 addendum)]



# NP scenarios

1D Hyp.	All				LFUV			
	Best fit	1 $\sigma$ /2 $\sigma$	Pull <sub>SM</sub>	p-value	Best fit	1 $\sigma$ / 2 $\sigma$	Pull <sub>SM</sub>	p-value
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TABLE VII. Most prominent 1D patterns of NP in  $b \rightarrow s\mu^+\mu^-$  transitions (state-of-the-art fits as of March 2020). Here, Pull<sub>SM</sub> is quoted in units of standard deviation and the  $p$ -value of the SM hypothesis is 1.4% for the fit “All” and 12.6% for the fit LFUV.

[1903.09578 (Apr 2020 addendum)]

# NP scenarios

- $C_9^\mu = -C_{10}^\mu$  is quite appealing as this corresponds to an operator with LH quarks and LH muons
- Just what you might expect from some NP above the EW scale that is  $SU(2)_L$  invariant

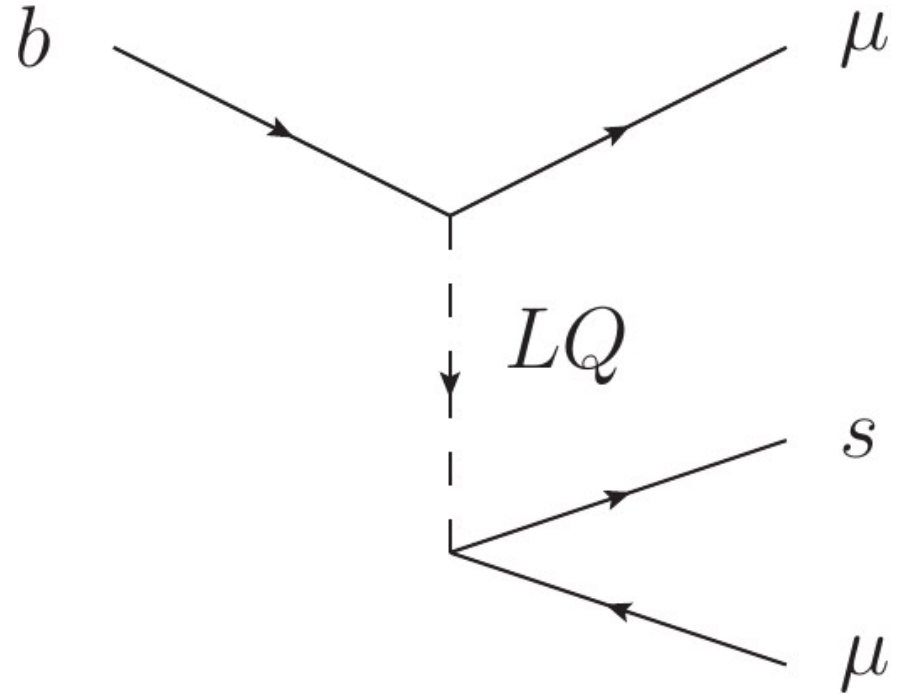
# Leptoquarks

- New particle carrying baryon and lepton number
- Interactions of the form  $LQ q \ell$
- Can be either vectors or scalars
- Naturally arise in unified theories

# Leptoquarks for $b \rightarrow sll$

- Tree level contribution to the flavour anomalies
- Best fit indicates

$$\frac{M_{LQ}}{\sqrt{\lambda_{b\mu}\lambda_{s\mu}}} \approx 35 \text{ TeV}$$





# Scalar or vector?

- Massive vector states need to be embedded in a UV complete theory in order to be able to make predictions at loop level
- Adding a new massive scalar is “simpler”
  - (see later for discussion of perturbative stability of scalar masses)

# Scalar leptoquarks

- Only one scalar leptoquark that gives  $b \rightarrow sll$
- $S_3 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$ 
  - Colour anti-triplet
  - $SU(2)_L$  triplet
  - Hypercharge = 1/3

# $S_3$ scalar leptoquark

- $S_3 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3)$
- The lagrangian term relevant for flavour anomalies looks like  $\lambda_{ij}^{QL} \overline{Q}_i^c L_j S_3$
- In particular, we need  $\lambda_{32,22}^{QL} \neq 0$
- But ...

# Problems with $S_3$

- With non-zero coupling to electrons, we induce LFV (e.g.  $\mu \rightarrow e\gamma$ ), which are very tightly constrained
- Similar for tau couplings (e.g.  $B \rightarrow K\mu\tau$ )

# Problems with $S_3$

- There is also generically a diquark coupling that looks like  $\lambda_{ij}^{QQ} \overline{Q}_i^c Q_j S_3$
- This induces proton decay

# Problems with $S_3$

- How to get the pattern of couplings:
  - $\lambda_{32,22}^{QL} \neq 0$
  - $\lambda_{i1,i3}^{QL} \approx 0$
  - $\lambda_{11}^{QQ} \approx 0$

# $S_3$ charged under $L_\mu - L_\tau$

- Extend the gauge symmetry
- $G_{\text{SM}} = SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$   
 $\rightarrow SU(3)_c \otimes SU(2)_L \otimes U(1)_Y \otimes U(1)_{L_\mu - L_\tau}$
- $S_3 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3) \rightarrow (\bar{\mathbf{3}}, \mathbf{3}, 1/3, -1)$

# $S_3$ charged under $L_\mu - L_\tau$

- $S_3 \sim (\bar{\mathbf{3}}, \mathbf{3}, 1/3) \rightarrow (\bar{\mathbf{3}}, \mathbf{3}, 1/3, -1)$
- Forces
  - $\lambda_{ij}^{QL} = \alpha_i \delta_{j2}$
  - $\lambda_{ij}^{QQ} = 0$
- Also:  $L_\mu - L_\tau$  is anomaly free
  - No extra fermions needed



# $S_3$ charged under $L_\mu - L_\tau$

- Other benefits:
- $L_\mu - L_\tau$  is anomaly free
  - No extra fermions needed
- Enforces lepton flavour conservation
  - All LFV constraints automatically satisfied

# Can we “see” this extra $U(1)$ ?

- Are there measurements we can make that can tell we have an extra gauge symmetry?
- A plain new  $U(1)$   $\Rightarrow$  new massless gauge boson
  - Ruled out by fifth force searches
- Break the  $U(1)$  using Higgs mechanism

# Can we “see” this extra U(1)?

- Can we just make our new Higgs-like scalar ( $\Phi$ ) and the new gauge boson ( $X_\mu$ ) very heavy?
- These new bosons don't contribute to the “interesting” phenomenology, so maybe?
- Is a hierarchy like  $M_h \ll M_{S_3} \ll M_\Phi, M_X$  plausible?

# Scalar mass stability

- In the SM, there is the hierarchy “problem”

# Hierarchy problem

- Calculate the loop corrections to the Higgs mass with cutoff regularization
  - $\delta M_h^2 \sim \Lambda^2$
- If you think SM is valid up to Plank scale
- $\Lambda \approx M_{\text{Pl}} \sim 10^{19} \text{ GeV} \Rightarrow$  enormous corrections

# Hierarchy problem

- But in the SM alone, there is no higher scale
- Higgs mass corrections are calculable in dim-reg
- $\delta M_h^2 = M_h^2 \left( 0.133 + \gamma_m \ln \frac{\mu^2}{m_t^2} \right)$
- At the scale  $\mu = m_t$  (which is the largest scale in the SM), the mass corrections are  $\sim 13\%$

# Finite naturalness

- This idea was introduced in [1303.7244](#) [Farina, Pappadopulo, Strumia]
- Called finite naturalness
- Define  $\Delta = \delta M_h^2 / M_h^2$  as the measure of naturalness
- $\Delta \lesssim 1$  is "natural" – SM has  $\Delta \approx 0.13$

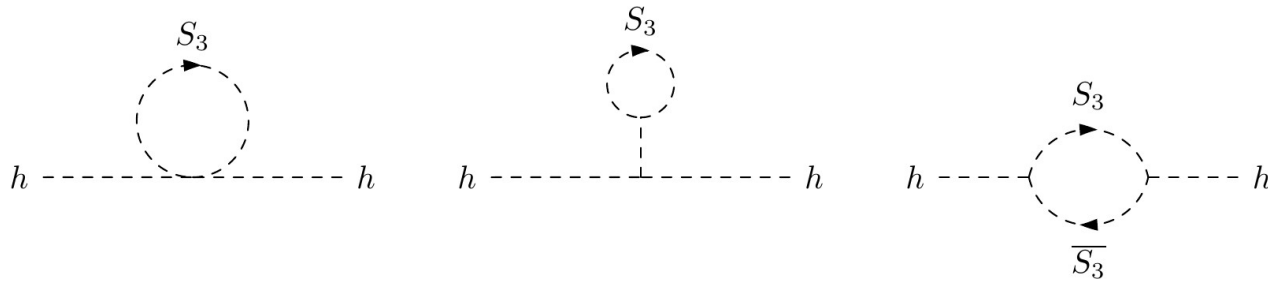
# Finite naturalness

- For a NP model, you can “bound” some of the parameters of your model by what size of  $\Delta$  you think is acceptable.



# Finite naturalness for Higgs

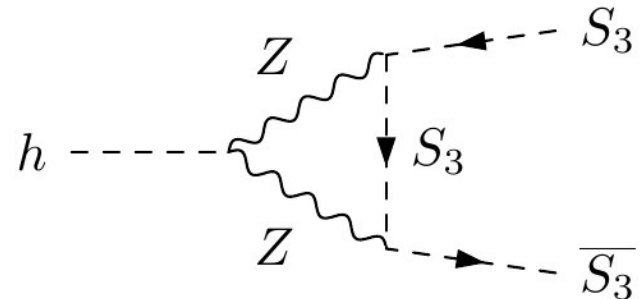
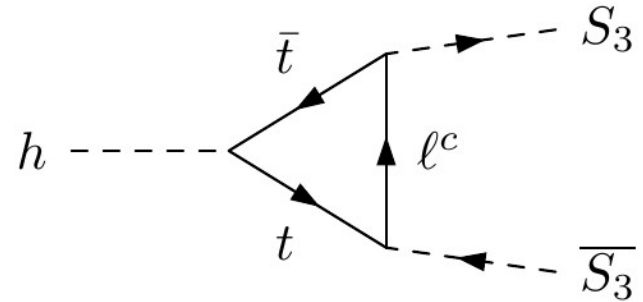
- Get Higgs mass corrections from  $S_3$  in the loop



- $$\delta M_h^2 = -\frac{9M_{S_3}^2}{16\pi^2} \lambda_{HS} \left( 1 + \ln \frac{\mu^2}{M_{S_3}^2} \right) \Rightarrow M_{S_3} \lesssim \frac{520 \text{ GeV}}{\sqrt{\lambda_{HS}}} \sqrt{\Delta}$$
- How big is  $\lambda_{HS}$ ?

# Finite naturalness for Higgs

- $\lambda_{HS}$  is generated by top and gauge boson loops
- Give opposite sign contributions



# Finite naturalness for Higgs

- $M_{S_3} \lesssim \frac{4.7 \text{ TeV}}{|\alpha_3 + V_{ts}\alpha_2| \sqrt{\ln M_{S_3}^2/m_t^2}} \sqrt{\Delta}$  (from LQ-q-l)
- $M_{S_3} \lesssim 5.8 \text{ TeV} \sqrt{\Delta}$  (from EW)
- So for certain parameter values, and a light enough LQ, the Higgs mass correction is "natural"

# Finite naturalness for $S_3$

- $\delta M_{S_3}^2 \propto g_X^2 M_X^2$
- So if we take  $g_X$  to be very small (and fix  $M_X$ , which is equivalent to large  $v_\Phi$ ) these corrections are also under control

# What does this all mean?

- We can propose our model with the following hierarchy:  $M_h \ll M_{S_3} \ll M_\Phi, M_X$
- And make an argument that it is “natural”

# What does this all mean?

- Which gives us a LQ that:
  - Couples only to muons
  - Doesn't induce proton decay term
- But the particles associated with the gauge symmetry can be hidden away at much higher mass scales

# Gauge decoupled

- The new gauge sector is decoupled from the SM+leptoquark
- We are left with a reduced parameter space:
  - $M_{S_3}, \alpha_1, \alpha_2, \alpha_3$ 
    - $\mathcal{L} \supset \alpha_i \overline{Q}_i^c L_2 S_3$

# Flavour structure

- Our Lagrangian ( $\mathcal{L} \supset \alpha_i \overline{Q}_i^c L_2 S_3$ ) couples to a simple linear combination of quark flavours
- This an example of linear flavour violation ([1509.05020](#) [Gripaios, Nardecchia, Renner]) and rank-one flavour violation ([1903.10954](#) [Gherardi, Marzocca, Nardecchia, Romanino])

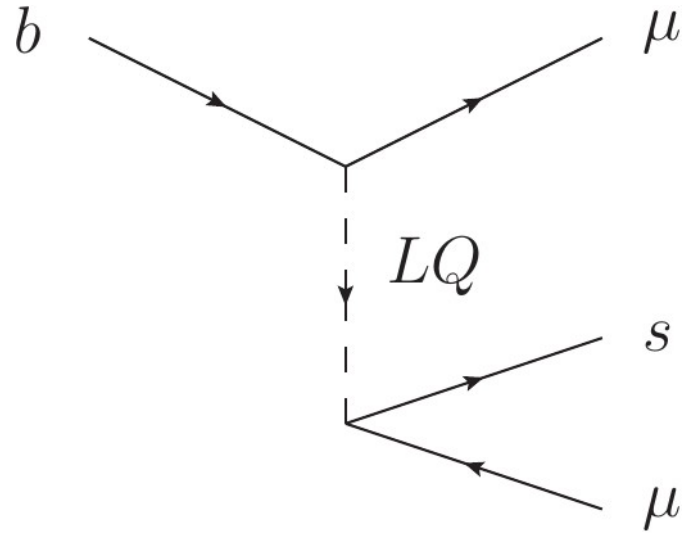


# Flavour structure

- A plausible choice for the alignment of this vector in flavour space is the 3rd generation CKM matrix elements
- $(\alpha_1, \alpha_2, \alpha_3) \propto (V_{ub}, V_{cb}, V_{tb})$
- Come naturally out of partial compositeness framework, or a U(2) flavour symmetry for NP

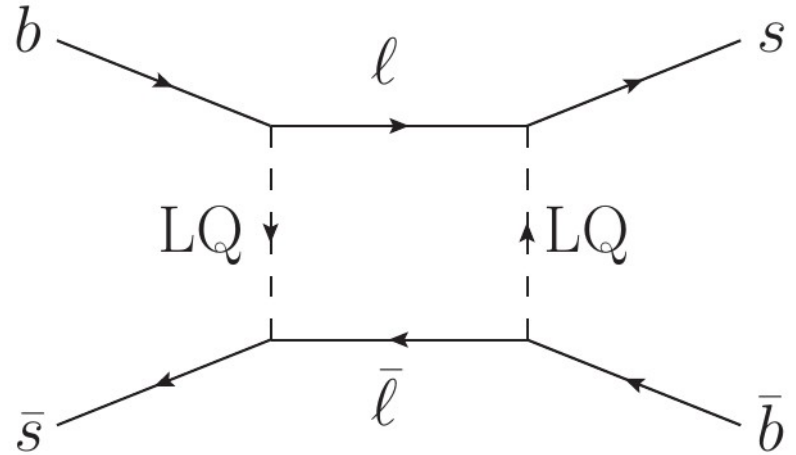
# Phenomenology

- What do measurements say about our quark couplings?
- Observables:
  - $b \rightarrow sll$  anomalies
  - $B_s$  mixing
  - Direct searches at LHC



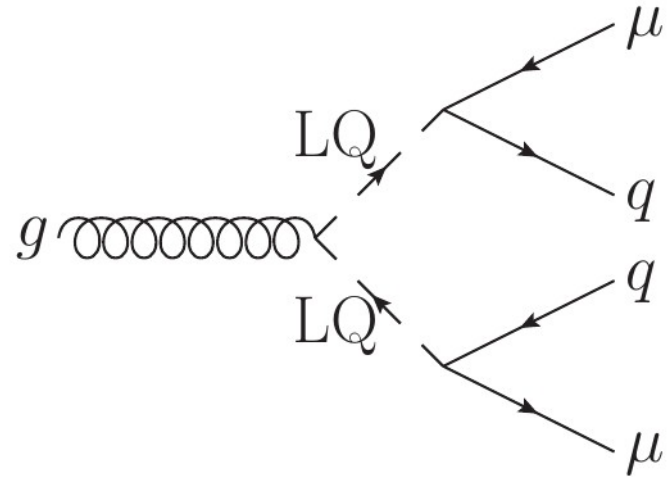
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# Phenomenology

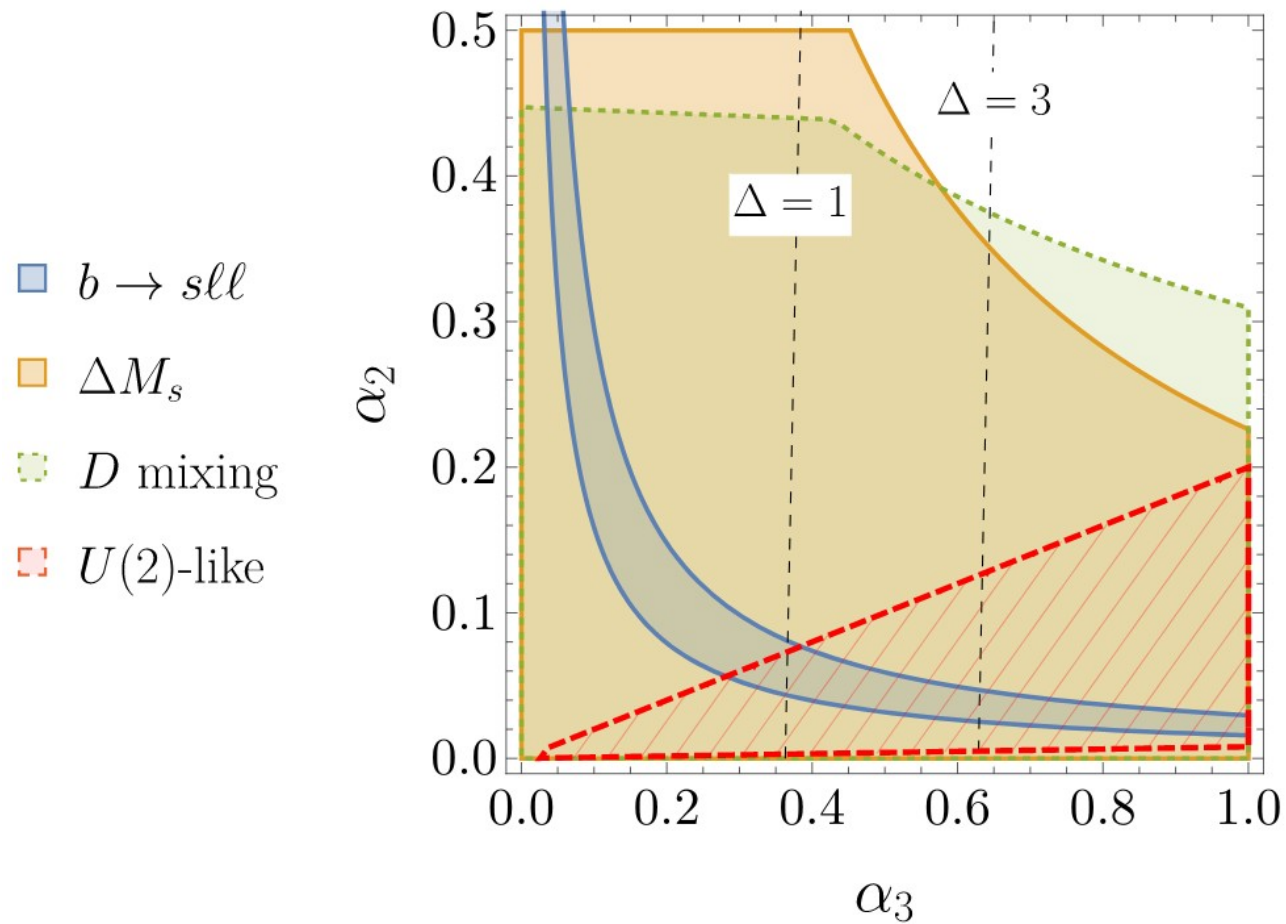
- $b \rightarrow sll$  anomalies:  $2\sigma$  range fixes

$$30 \text{ TeV} \leq \frac{M_{S_3}}{\sqrt{\alpha_3 \alpha_2}} \leq 45 \text{ TeV}$$

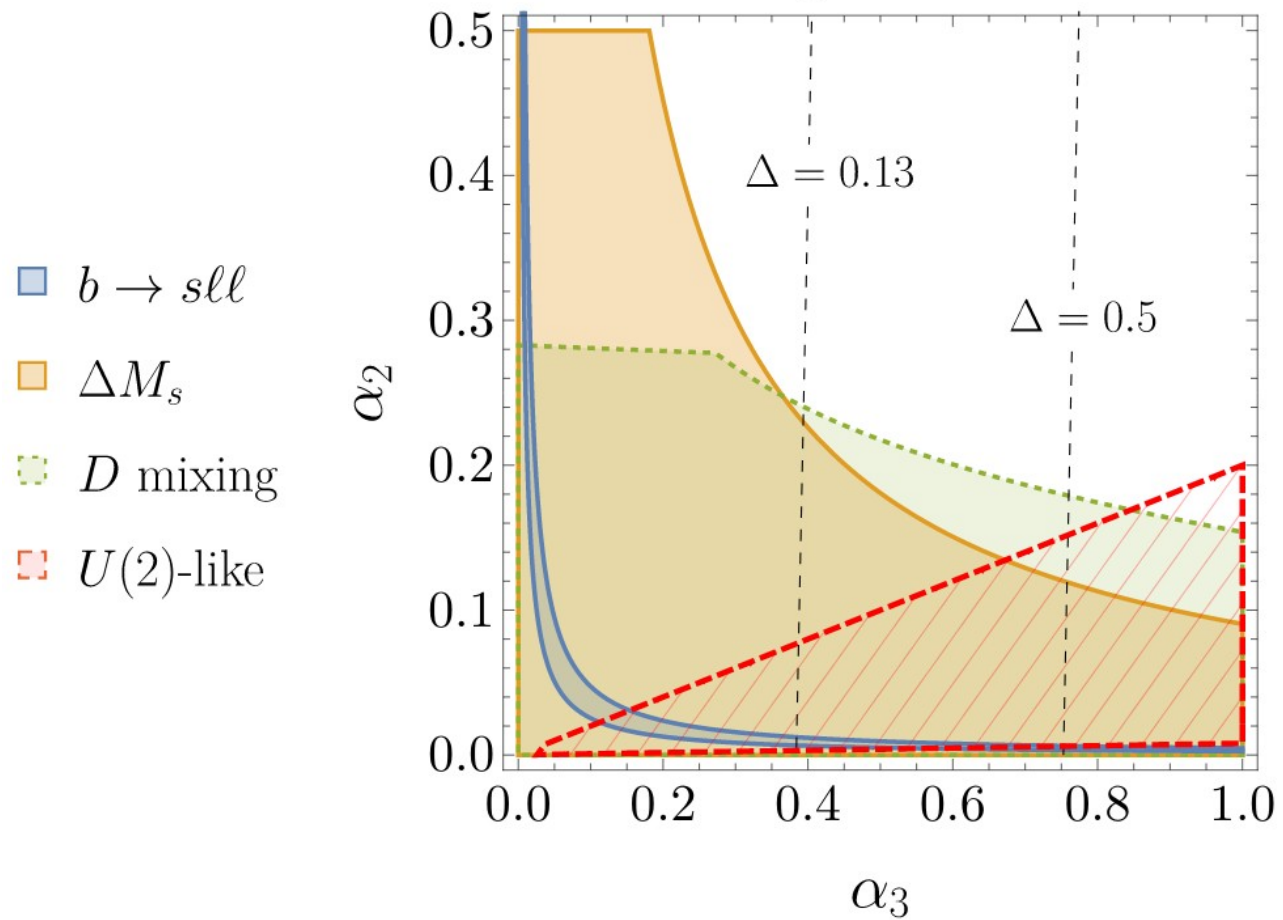
- $B_s$  mixing:  $\frac{M_{S_3}}{\alpha_3 \alpha_2} \gtrsim 22 \text{ TeV}$

- Direct searches:  $M_{S_3} \gtrsim 1.7 \text{ TeV}$

$$M_{S_3} = 5 \text{ TeV}$$



$$M_{S_3} = 2 \text{ TeV}$$

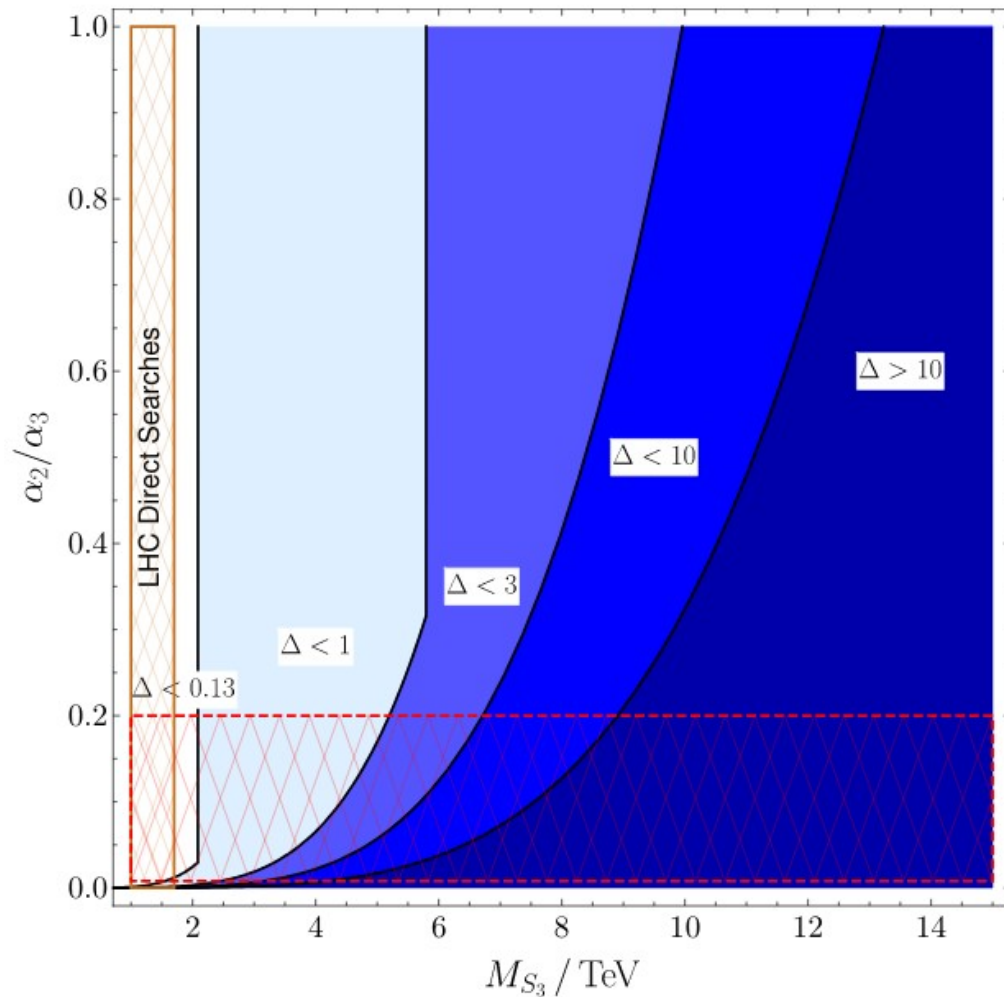


# Summary

- $S_3$  is a well known solution of the flavour anomaly problem
- Charging it under  $U(1)_{L_\mu - L_\tau}$  solves some conceptual problems
- It is possible to “hide” the new  $U(1)$  at a high scale, in a natural way



Extra



**Figure 4:** Constraints on the ratio  $\alpha_2/\alpha_3$  vs. the leptoquark mass, with  $\Delta C_9^\mu = -\Delta C_{10}^\mu$  everywhere fixed to the central value of the global fit [12] to  $B$  anomaly data. The brown

# Non-renormalisable proton decay

- Potentially there is an operator of the form

$$\frac{1}{\Lambda} \overline{Q}_i^c Q_j S_3 \Phi$$

- which induces proton decay after  $\Phi$  gets a vev
- Could forbid with gauge charge of  $\Phi$ , but leads to problems if you then want to generate neutrino masses in a minimal way

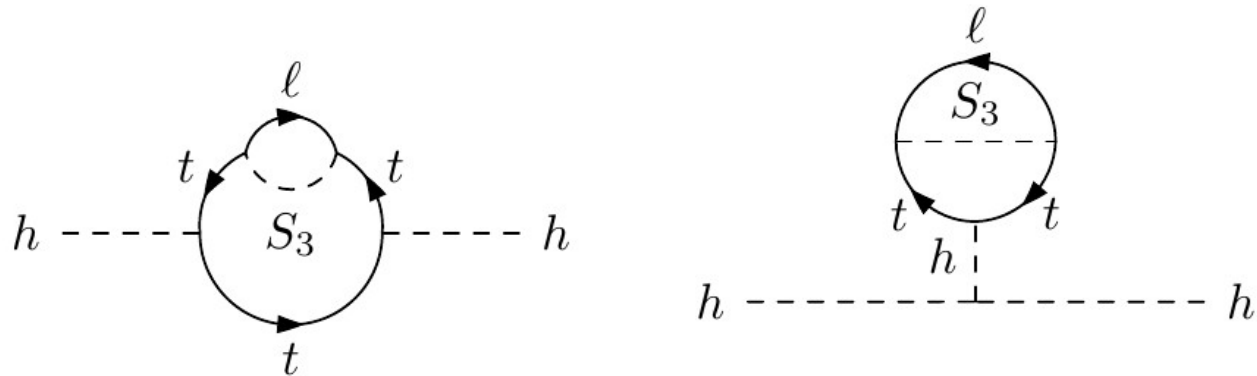
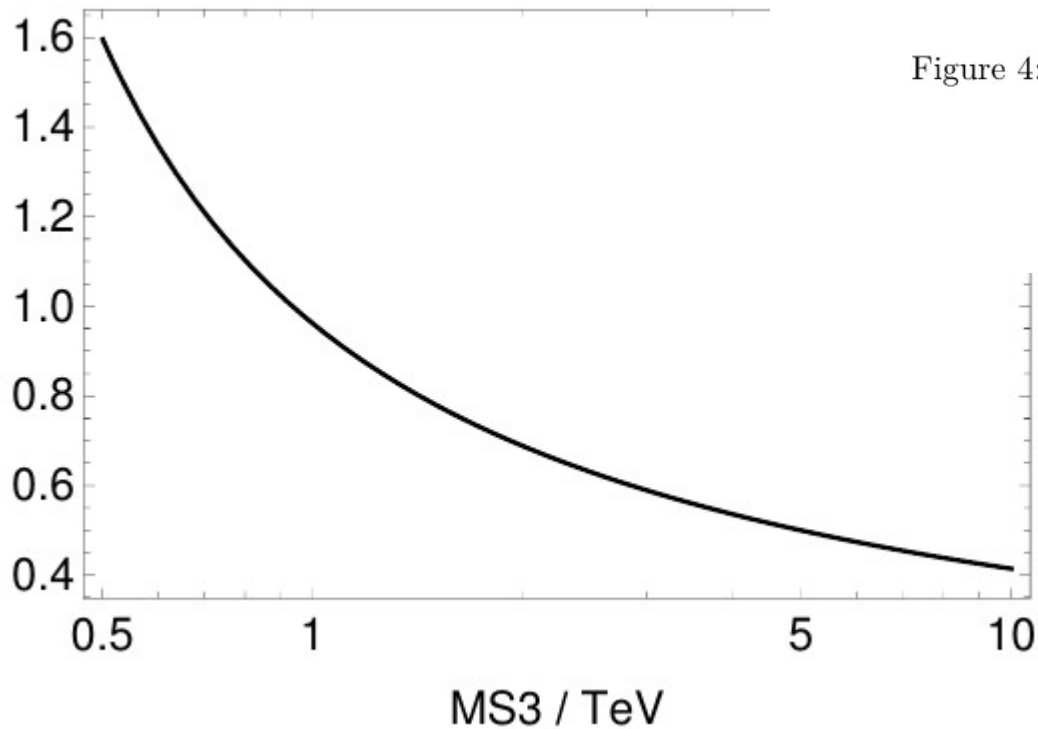
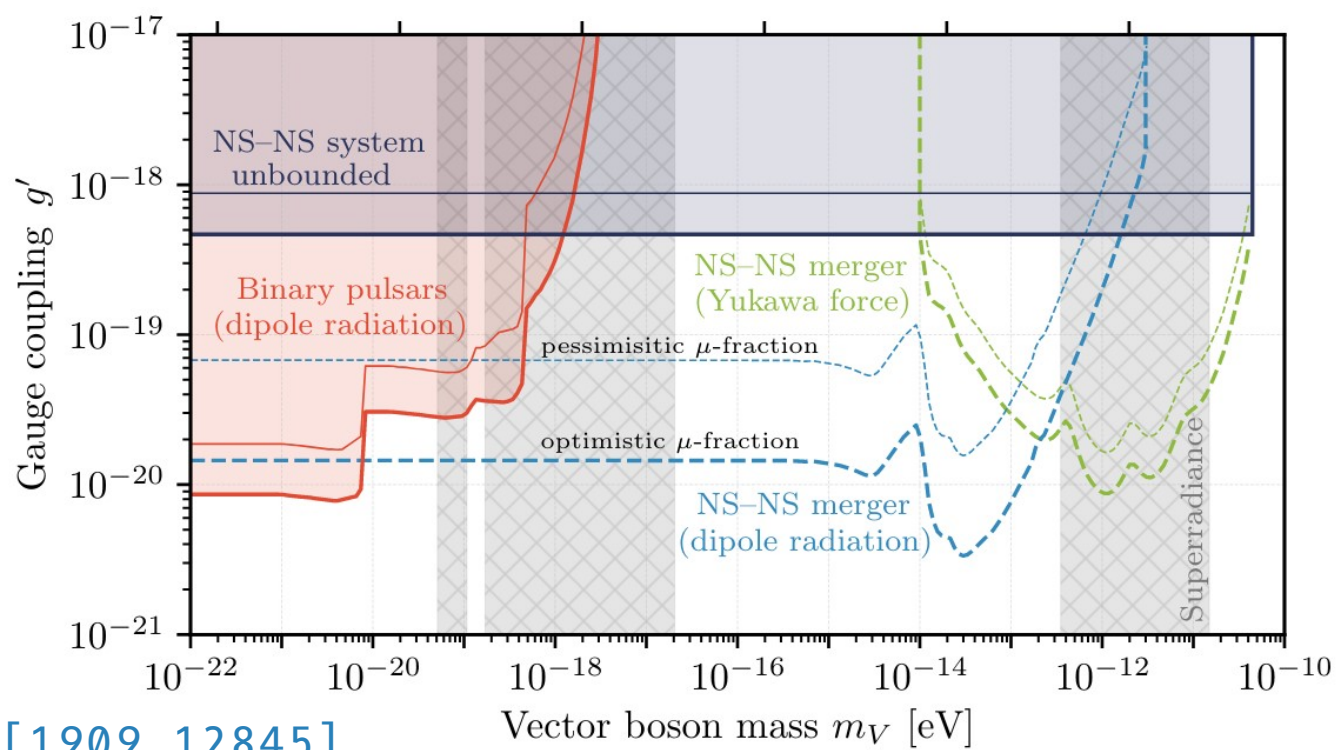


Figure 4: Diagrams contributing to  $\delta M_h$  in our gauge charged LQ model, which parametrically go as  $y_t^2 \alpha_3^2$ . In both cases, the leptoquark can be  $S_3^{1/3}$  or  $S_3^{-2/3}$  and the lepton can be a  $\mu$  or  $\nu_\mu$  respectively. The left diagrams has a counterpart with the opposite fermion flow.





[1909.12845]

[1908.09732]

Compact binary system	$g$ (fifth force)	$g$ (orbital period decay)
PSR B1913+16	$\leq 4.99 \times 10^{-17}$	$\leq 2.21 \times 10^{-18}$
PSR J0737-3039	$\leq 4.58 \times 10^{-17}$	$\leq 2.17 \times 10^{-19}$
PSR J0348+0432	—	$\leq 9.02 \times 10^{-20}$
PSR J1738+0333	—	$\leq 4.24 \times 10^{-20}$

# Branching Fractions

- The branching fraction measurements for  $B_s^0 \rightarrow \mu^+ \mu^-$  and the upper limits on the  $B^0 \rightarrow \mu^+ \mu^-$  at 95% CL are:

ATLAS

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (2.8_{-0.7}^{+0.8}) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) < 2.1 \times 10^{-10}$$

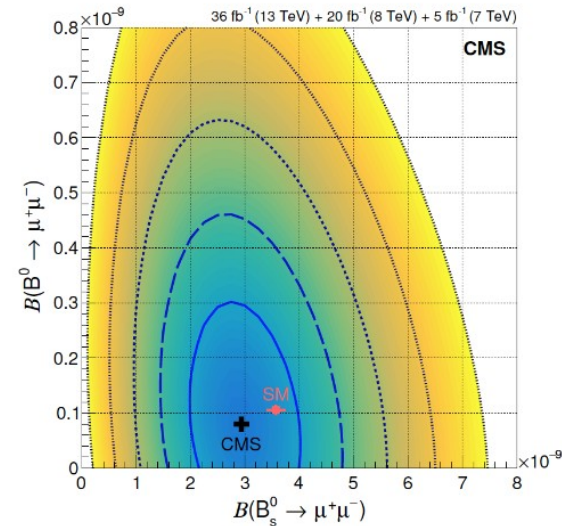
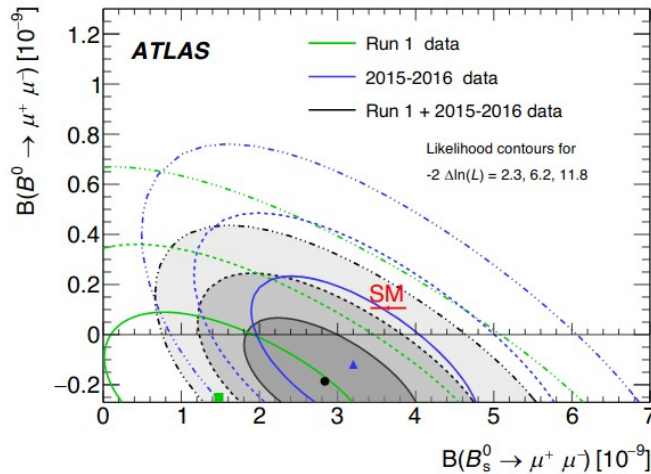
CMS

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = [2.9 \pm 0.7 \text{ (exp)} \pm 0.2 \text{ (frag)}] \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) < 3.6 \times 10^{-10}$$

- The likelihood contours for the branching fractions are shown in the figures (the Neyman construction is used for ATLAS results)

[Grummer  
LHCP @ 2020]



$$\text{BR}(B_s \rightarrow \mu^+ \mu^-)_{\text{prompt}} = \frac{G_F^2 \alpha^2}{16\pi^3} |V_{ts} V_{tb}^*|^2 f_{B_s}^2 \tau_{B_s} m_{B_s} m_\mu^2 \sqrt{1 - 4 \frac{m_\mu^2}{m_{B_s}^2}} |C_{10}^{\text{SM}}|^2 (|P|^2 + |S|^2), \quad (19)$$

where the Wilson coefficients appear through the combinations

$$P = \frac{C_{10} - C'_{10}}{C_{10}^{\text{SM}}} + \frac{M_{B_s}^2}{2m_\mu} \frac{m_b}{m_b + m_s} \left( \frac{C_P - C'_P}{C_{10}^{\text{SM}}} \right), \quad S = \sqrt{1 - 4 \frac{m_\mu^2}{M_{B_s}^2} \frac{M_{B_s}^2}{2m_\mu} \frac{m_b}{m_b + m_s} \left( \frac{C_S - C'_S}{C_{10}^{\text{SM}}} \right)}, \quad (21)$$

[1702.05498]

